

# Extended set partitions with successions

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## Abstract

We enumerate set partitions by strings of consecutive elements, or successions, and obtain a formula for the number of partitions with successions of arbitrary length. Our approach involves direct operations on the objects within the blocks of partitions. The succession concept is extended to  $m$ -regular partitions by means of two algorithms for transforming partitions. We also present a succession-based connection between integer partitions and set partitions, and obtain an application to the enumeration of partitions of arbitrary subsets of  $\{1, 2, \dots, n\}$  by successions.

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## 1. Introduction

A partition of  $[n] = \{1, 2, \dots, n\}$  is a collection of nonempty disjoint subsets of  $[n]$ , called blocks, whose union is  $[n]$ . The number of all  $k$ -partitions of  $[n]$  is the Stirling Number of the second kind, to be denoted by  $S(n, k)$ .

Given integers  $x > 0$ ,  $t > 1$ , a  $t$ -succession is defined as the  $t$  numbers  $x, x+1, \dots, x+t-1$ . A partition  $p$  of  $[n]$  is said to contain a  $t$ -succession if a block of  $p$  does. Denote the set of  $k$ -partitions of  $[n]$  with  $r$  occurrences of  $t$ -successions by  $C_t(n, k, r)$ ,  $r \geq 0$ , and let the cardinality  $|C_t(n, k, r)|$  be represented by  $c_t(n, k, r)$ .

Our first result is an explicit general formula for  $c_t(n, k, r)$ ,  $t \geq 2$  (**Theorem 3**). The derivation will rely on two special subsets  $D_t(n, k, r)$  and  $W_t(n, k, r)$  of  $C_t(n, k, r)$  defined as follows.

$p \in D_t(n, k, r)$  if  $p \in C_t(n, k, r)$ ,  $r > 1$ , and  $p$  contains only  $u$ -successions, where  $u = t$ .

$p \in W_t(n, k, r)$  if  $p \in C_t(n, k, r)$ ,  $r > 1$ , and  $p$  contains only  $u$ -successions, where  $u \in [t]$ .

Thus  $D_t(n, k, r) \subseteq W_t(n, k, r) \subseteq C_t(n, k, r)$ ,  $D_2(n, k, r) = W_2(n, k, r)$ , and  $W_t(n, k, r) = C_t(n, k, r)$ , for  $(t, r) = (1, r), (t, 1), (t, 0)$ .

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For example, two elements of  $C_3(n, k, r)$  are shown after each containing subset below:  
 $D_3(10, 3, 2)$ :  $(1, 2, 3, 5, 7)(4, 8, 9, 10)(6)$ ,  $(1, 10)(2, 6)(3, 4, 5, 7, 8, 9)$ ;  
 $W_3(10, 3, 2) \setminus D_3(10, 3, 2)$ :  $(1, 2, 3)(4, 5, 7, 8, 9)(6, 10)$ ,  $(1, 2, 6)(3, 4, 5, 7, 8, 9)(10)$ ;  
 $C_3(10, 3, 2) \setminus W_3(10, 3, 2)$ :  $(1, 2, 3, 4, 10)(3, 7, 9)(6, 8)$ ,  $(1, 2, 4, 5)(3, 10)(6, 7, 8, 9)$ .  
 We will use the notations  $|D_t(n, k, r)| = d_t(n, k, r)$ ,  $|W_t(n, k, r)| = w_t(n, k, r)$ .  
 The results in the following theorem are established in [4].

### Theorem 1.

- (i)  $c_2(n, k, r) = \binom{n-1}{r} S(n-r-1, k-1)$ .
- (ii)  $d_t(n, k, r) = \binom{n-(t-1)r}{r} S(n-(t-1)r-1, k-1)$ .

Note that Theorem 1(ii) implies the identity

$$d_t(n, k, r) = d_{t+j}(n + jr, k, r), \quad j = 0, \pm 1, \pm 2, \dots \quad (1)$$

In Section 3 we extend the succession concept to the set  $H^m(n, k)$  of  $m$ -regular  $k$ -partitions of  $[n]$ ,  $m \geq 1$ . A partition  $p$  of  $[n]$  is  $m$ -regular if any pair of distinct elements  $x, y$  in a block satisfies  $|x - y| \geq m$ . If all the blocks are singletons then  $p$  is  $N$ -regular,  $N \geq n$ . The corresponding extension of Theorem 1(i) is given in Theorem 4. We also examine a bijection between  $H^{m+1}(n+1, k+1)$  and  $H^m(n, k)$ , which is due to Chen et al. [2], and a special bijection between  $H^1(n, k)$  and  $H^2(n+1, k+1)$  the generalization of which is given in Theorem 5.

Section 4 gives a succession-based relation between set partitions and integer partitions which seems to be new (Theorem 6). Lastly, Section 5 broaches the general problem of enumerating the partitions of any subset of  $[n]$  containing a given number of successions.

## 2. Partitions with $t$ -successions

In this section we derive the formula for  $c_t(n, k, r)$ , when  $t > 2$ . Let  $w_t(n, k, r_t, r_{t-1}, \dots, r_x)$  denote the number of partitions enumerated by  $w_t(n, k, r_t)$  such that each partition contains  $r_j$   $j$ -successions,  $r_j > 0$ ,  $2 \leq x \leq t-1$ . It follows that

$$w_t(n, k, r_t, r_{t-1}, \dots, r_{x+1}) = \sum_{0 \leq r_x \leq \Delta_x} w_t(n, k, r_t, r_{t-1}, \dots, r_x), \quad (2)$$

where  $\Delta_x = \lfloor \frac{n - tr_t - (t-1)r_{t-1} - \dots - (x+1)r_{x+1}}{x} \rfloor$ ,  $2 \leq x \leq t-1$ , and  $\lfloor N \rfloor$  is the floor function.

**Lemma 1.** Let  $r_t, r_{t-1}, \dots, r_x$  be fixed nonnegative integers,  $2 \leq x \leq t$ . Then

$$w_t(n, k, r_t, r_{t-1}, \dots, r_x) = \frac{(r_t + r_{t-1} + \dots + r_x)!}{r_t! r_{t-1}! \dots r_x!} \\ \times w_x(n - (t-x)r_t - (t-1-x)r_{t-1} - \dots - r_{x+1}, k, r_t + r_{t-1} + \dots + r_x).$$

**Proof.** Starting with a partition enumerated by  $w_t(n, k, r_t, r_{t-1}, \dots, r_x, 0, \dots, 0)$  each  $j$ -succession ( $j \leq t-1$ ) can be transformed into a  $t$ -succession by appending the  $t-j$  integers  $v+1, v+2, \dots, v+t-j$ , where  $v$  is the greatest member of the succession, and then adding  $t-j$  to every integer elsewhere which is greater than  $v$ .

Consequently each group of the  $r_j$   $j$ -successions, following extensions to  $t$ -successions, increases  $n$  by  $(t - j)r_j$ . Thus after transforming all the successions to  $t$ -successions we obtain the term

$$d_t(n + r_{t-1} + 2r_{t-2} + \cdots + (t - x)r_x, k, r_t + r_{t-1} + \cdots + r_x). \quad (3)$$

Conversely, to obtain a partition enumerated by  $w_t(n, k, r_t, r_{t-1}, \dots, r_x, 0, \dots, 0)$  from a partition  $q$  enumerated by (3) we must successively select  $r_j$   $t$ -successions and reduce them to  $j$ -successions, by deleting  $t - j$  integers from each, and then subtracting  $t - j$  from every integer greater than  $v + t - j$ . Thus the total number of ways of reconstructing a partition enumerated by  $w_t(n, k, r_t, r_{t-1}, \dots, r_x, 0, \dots, 0)$  from  $q$  is, by the rule of product, equal to

$$\begin{aligned} & \binom{r_t + r_{t-1} + \cdots + r_2}{r_t} \binom{r_{t-1} + r_{t-2} + \cdots + r_2}{r_{t-1}} \cdots \binom{r_{x-1} + r_x}{r_{x-1}} \\ &= \frac{(r_t + r_{t-1} + \cdots + r_x)!}{r_t! r_{t-1}! \cdots r_x!}. \end{aligned}$$

Hence, for all partitions enumerated by (3), we obtain the identity

$$\begin{aligned} & w_t(n, k, r_t, r_{t-1}, \dots, r_x, 0, \dots, 0) \\ &= \frac{(r_t + r_{t-1} + \cdots + r_x)!}{r_t! r_{t-1}! \cdots r_x!} d_t(n + r_{t-1} + 2r_{t-2} + \cdots + (t - x)r_x, \\ & \quad k, r_t + r_{t-1} + \cdots + r_x) \\ &= \frac{(r_t + r_{t-1} + \cdots + r_x)!}{r_t! r_{t-1}! \cdots r_x!} d_x(n - (t - x)r_t - (t - 1 - x)r_{t-1} - \cdots - r_{x+1}, \\ & \quad k, r_t + r_{t-1} + \cdots + r_x), \end{aligned}$$

where the last equality follows from (1). The lemma then follows by extending both sides to include shorter-length successions.  $\square$

The first part of the next theorem is a consequence of (2) and Lemma 1, and the second part is obtained by iterating the first part ( $\delta_{ij}$  is the Kronecker delta).

**Theorem 2.** (i)  $w_1(n, k, r) = S(n - 1, k - 1)\delta_{nr}$ , and if  $t$  is an integer,  $t \geq 2$ , then

$$w_t(n, k, r) = \sum_{j=0}^{\lfloor (n-tr)/(t-1) \rfloor} \binom{r+j}{r} w_{t-1}(n-r, k, r+j).$$

(ii) For  $t > 2$ , we have

$$\begin{aligned} w_t(n, k, r) &= \sum_{\substack{0 \leq r_2 \leq \Delta_2 \\ \vdots \\ 0 \leq r_{t-1} \leq \Delta_{t-1}}} \frac{(r_t + r_{t-1} + \cdots + r_2)!}{r_t! r_{t-1}! \cdots r_2!} \\ & \quad \times \binom{n - (t-1)r_t - (t-2)r_{t-1} - \cdots - r_2}{r_t + r_{t-1} + \cdots + r_2} \\ & \quad \times S(n - (t-1)r_t - (t-2)r_{t-1} - \cdots - r_2 - 1, k - 1), \end{aligned}$$

where  $\Delta_j = \lfloor \frac{n-tr_t-(t-1)r_{t-1}-\cdots-(j+1)r_{j+1}}{j} \rfloor$ ,  $2 \leq j \leq t-1$ .

**Theorem 3.**

$$c_t(n, k, r) = \sum_{v=1}^r \binom{r-1}{v-1} w_t(n-r+v, k, v), \quad r > 0. \quad (4)$$

$$c_t(n, k, 0) = \sum_{j=0}^{\lfloor n/(t-1) \rfloor} w_{t-1}(n, k, j). \quad (5)$$

**Proof.** We employ the notation  $(1^{r_1}, 2^{r_2}, \dots)$  for an integer partition in which the part  $j$  appears  $r_j$  times,  $r_j \geq 0$  [1, p. 122]. To prove (4) we consider the possible shapes of occurrences of the  $t$ -successions determined by the partitions of  $r$  such that a part in each partition represents the number of  $t$ -successions in a  $u$ -succession,  $u \geq t$ . Since a  $u$ -succession ( $u \geq t$ ) contains exactly  $u-t+1$   $t$ -successions, the function  $w_u(n, k, r_u, r_{u-1}, \dots, r_t)$  can be viewed as the enumerator of partitions in  $C_t(n, k, (u-t+1)r_u + (u-t)r_{u-1} + \dots + r_t)$  in which  $r_{u-i}$  ( $u-i$ )-successions contribute  $(u-i-t+1)r_{u-i}$   $t$ -successions for each  $i$ ,  $0 \leq i \leq u-t$ . But the decomposition  $(u-t+1)r_u + (u-t)r_{u-1} + \dots + r_t$  represents the partition  $(1^{r_t}, 2^{r_{t+1}}, \dots)$  in which a part  $j$  appears  $r_{t+j-1}$  times, and the number of distinct parts is at most  $u-t+1$ . Thus the contribution of partitions of  $r$  into  $v$  parts to  $c_t(n, k, r)$  is given by  $A_v = \sum w_u(n, k, r_u, r_{u-1}, \dots, r_t)$ , where the summation is over all the sequences  $(r_t, r_{t+1}, \dots, r_u, 0, \dots)$  ( $r_u > 0$ ) of exponents of partitions of  $r$  into  $v$  parts,  $1 \leq v \leq r$ . So by Lemma 1 we have

$$\begin{aligned} A_v &= \sum \frac{(r_u + r_{u-1} + \dots + r_t)!}{r_u! r_{u-1}! \dots r_t!} w_t(n - (u-t)r_u - (u-1-t)r_{u-1} - \dots - r_{t+1}, \\ &\quad k, r_u + r_{u-1} + \dots + r_t) \\ &= \sum \frac{v!}{r_u! r_{u-1}! \dots r_t!} w_t(n - r + v, k, v), \end{aligned}$$

since  $(u-t)r_u + (u-1-t)r_{u-1} + \dots + r_{t+1} = r - (r_u + r_{u-1} + \dots + r_t) = r - v$ . Hence  $A_v = c(r, v) w_t(n - r + v, k, v)$ , where  $c(r, v) = \sum \frac{v!}{r_u! r_{u-1}! \dots r_t!}$  is the number of compositions of the integer  $r$  into  $v$  parts. The result follows by summing over  $v$ , and applying the standard formula  $c(n, k) = \binom{n-1}{k-1}$ . Finally, (5) follows from the relation  $c_t(n, k, 0) = w_t(n, k, 0)$  and Theorem 2(i).  $\square$

**Remark.** As an illustration of the proof of Theorem 3 consider  $r = 7$ . The partitions of 7 into 4 parts namely  $1 + 2 + 2 + 2 \equiv (1^1, 2^3, 3^0, \dots)$ ,  $1 + 1 + 2 + 3 \equiv (1^2, 2^1, 3^1, 4^0, \dots)$ ,  $1 + 1 + 1 + 4 \equiv (1^3, 2^0, 3^0, 4^1, 5^0, \dots)$ , give the following canonical sequences of exponents:  $(1, 3, 0, \dots)$ ,  $(2, 1, 1, 0, \dots)$ ,  $(3, 0, 0, 1, 0, \dots)$ . So the contribution  $A_4$  of the partitions of 7 into 4 parts to  $c_t(n, k, 7)$  is given by

$$\begin{aligned} A_4 &= w_{t+1}(n, k, 3, 1) + w_{t+2}(n, k, 1, 1, 2) + w_{t+3}(n, k, 1, 0, 0, 3) \\ &= 4w_t(n-3, k, 4) + 12w_t(n-3, k, 4) + 4w_t(n-3, k, 4) \text{ (by Lemma 1)} \\ &= 20w_t(n-3, k, 4) = \binom{6}{3} w_t(n-3, k, 4), \text{ as desired.} \end{aligned}$$

**Remarks.** (i) It is easily verified that Theorem 3 gives the formula for  $c_2(n, k, r)$  if  $t = 2$ .

(ii) If  $t = 3$  in Theorem 2(ii), we obtain

$$w_3(n, k, r) = \sum_{j=r}^{\lfloor (n-r)/2 \rfloor} \binom{j}{r} \binom{n-r-j}{j} S(n-r-j-1, k-1).$$

Hence, Theorem 3 gives

$$c_3(n, k, r) = \sum_{v=1}^r \sum_{j=v}^{\lfloor (n-r)/2 \rfloor} \binom{r-1}{v-1} \binom{j}{r} \binom{n-r-j}{j} S(n-r-j-1, k-1).$$

Clearly the explicit formula for  $c_t(n, k, r)$  contains  $t-1$  summation symbols for each  $t > 2$ .

(iii) The sequences  $\sum_k c_3(n, k, r)$ ,  $n \geq r+2$ , appear in the database [6] under A105483, A105484, A105485, A105486 and A105487, for the fixed values of  $r : 1, 2, 3, 4, 5$ .

### 3. Extension to $m$ -regular partitions

A partition  $p$  of  $[n]$  contains  $m$ -regular  $t$ -successions if a block of  $p$  contains the arithmetic sequence  $x, x+m, \dots, x+m(t-1)$ , where  $x$  is a positive integer. Let  $H_t^m(n, k, r)$  denote the set of  $m$ -regular  $k$ -partitions of  $[n]$  containing exactly  $r$  ( $m$ -regular)  $t$ -successions, and let  $|H_t^m(n, k, r)| = h_t^m(n, k, r)$ . It follows that  $h_t^1(n, k, r) = c_t(n, k, r)$ . Henceforth we denote partitions by inserting dashes between the members of adjacent blocks. For example  $146-2-35 \in H_2^2(6, 3, 2)$ , and  $15-2-36-47 \in H_2^3(7, 4, 2)$ .

**Theorem 4.** If  $m$  is an integer,  $m \geq 2$ , then

$$h_2^m(n, k, r) = h_2^{m-1}(n-1, k-1, r); \quad (6)$$

$$h_2^m(n, k, r) = \binom{n-m}{r} S(n-r-m, k-m). \quad (7)$$

**Proof.** (6) is a consequence of Algorithm 1 described below. By iterating (6) we obtain  $h_2^m(n, k, r) = h_2^1(n-m+1, k-m+1, r) = c_2(n-m+1, k-m+1, r)$ ; and (7) follows from Theorem 1(i).  $\square$

**Remark.** (i)  $\lim_{r \rightarrow n-k} h_2^m(n, k, r) = \binom{n-m}{k-m}$ , i.e., the enumerator of  $m$ -regular  $k$ -partitions of  $[n]$  in which every non-singleton block consists entirely of 2-successions.

(ii)  $\sum_k h_2^m(n, k, r) = S(n-m-1, k-m-1) = |H^m(n, k)|$ ;

$\sum_k h_2^m(n, k, r) = \binom{n-m}{r} B(n-r-m)$ , where  $B(n)$  is the  $n$ th Bell number defined by  $B(n) = \sum_k S(n, k)$ .

#### Algorithm 1: Succession Algorithm

We consider a reduction algorithm from [2] for transforming partitions between  $H^{m+1}(n+1, k+1)$  and  $H^m(n, k)$  which is actually a bijection. Let  $q$  be a partition of  $[n]$ . The graphical representation  $G(q)$  of  $q$  consists of a line with  $n$  vertices  $1, 2, \dots, n$  numbered from left to right. The elements of each block  $B$  are joined by a path such that the arc  $(x, y) \in G(q)$  if and only if  $x, y \in B$  and  $y$  covers  $x$ . Then the algorithm asserts that a partition  $p \in H^{m+1}(n+1, k+1)$  may be reduced to a partition  $q \in H^m(n, k)$  by transforming  $G(p)$  as follows:

- (i) for each  $(x, y) \in G(p)$  replace it by  $(x, y-1)$ ;
- (ii) delete the vertex  $n+1$ .

Table 1

Correspondence between  $H^1(4, 2)$  and  $H^2(5, 3)$ 

$H^1(4, 2)$	$H^2(5, 3)$	$W_1((5), 3) = H^2(5, 3)$
123-4	13-24-5	13-25-4
124-3	13-25-4	15-24-3
134-2	14-2-35	14-2-35
14-23	15-24-3	14-25-3
12-34	135-2-4	135-2-4
13-24	14-25-3	13-24-5
1-234	1-24-35	1-24-35

Clearly the above transformation is reversible and  $p \in H^{m+1}(n+1, k+1)$  if and only if  $q \in H^m(n, k)$ .

Denoting  $|H^m(n, k)| = h^m(n, k)$ , the algorithm gives immediate justification of the following identity

$$h^{m+1}(n+1, k+1) = h^m(n, k). \quad (8)$$

We observe that the bijection preserves 2-successions since it includes the stipulation that  $p \in H^{m+1}(n+1, k+1)$  corresponds to  $q \in H^m(n, k)$  if and only if every  $(m+1)$ -regular 2-succession in  $p$  maps to an  $m$ -regular 2-succession in  $q$  such that smaller members of corresponding 2-successions remain fixed.

The proof of (6) follows from (8) and the last remark.

But note that this algorithm fails to preserve  $t$ -successions for  $t > 2$ . The complete set of correspondences between elements of  $H^1(4, 2)$  and  $H^2(5, 3)$  is shown in the first and second columns of Table 1.

On the other hand, there is a bijection between  $H^1(n, k)$  and  $H^2(n+1, k+1)$  which transforms members of  $H^1(n, k)$  by annihilating 2- and hence all  $t$ -successions. The description is a special case of the following general algorithm. The action of the following “succession-killer” algorithm on a partition  $p$  of  $[n]$  is to annihilate each  $t$ - and hence all longer successions in  $p$ , and return a partition of  $[n+1]$  in which the length of a contained succession is at most  $t-1$ .

**Theorem 5.** Let  $W_j((n), k, r)$  denote the set of elements of  $W_j(n, k, r)$  which contain the singleton block  $\{n\}$ , or such that any  $x (\neq n)$  in the block containing  $n$  implies that the  $j$  elements  $x+1, x+2, \dots, x+j$  lie in one other block. Then

$$|W_j((n+1), k+1)| = S(n, k), \quad j \geq 1,$$

where  $W_j((n), k) = \bigcup_{r \geq 0} W_j((n), k, r)$ .

**Proof.** We describe a bijection between  $H^1(n, k)$  and  $W_j((n+1), k+1)$ . A  $q \in W_j((n+1), k+1)$  is obtained from  $p \in H^1(n, k)$  by transforming  $p$  as follows.

- (i) if  $p \in \bigcup_{r \geq 0} W_j(n, k, r)$ , then insert the block  $\{n+1\}$  into  $p$ ;
- (ii) else, form the  $(k+1)$ th block to contain  $n+1$  and other elements obtained from  $p$  as follows: from each  $u$ -succession  $x_1, x_2, \dots, x_u$  ( $u \geq j+1$ ) select all  $x_i$  such that  $i \equiv u+1 \pmod{j+1}$ . The reverse map is clear. (See Table 2.)  $\square$

Table 2

Images of  $H^1(4, 2)$  in the algorithm of Theorem 5

$H^1(4, 2)$	$W_1((5), 3)$	$W_2((5), 3)$	$W_3((5), 3)$
123-4	13-25-4	15-23-4	123-4-5
124-3	15-24-3	124-3-5	124-3-5
134-2	14-2-35	134-2-5	134-2-5
14-23	14-25-3	14-23-5	14-23-5
12-34	135-2-4	12-34-5	12-34-5
13-24	13-24-5	13-24-5	13-24-5
1-234	1-24-35	1-25-34	1-234-5

- Remark.** (i) If  $j = 1$  in Theorem 5, we obtain the bijection  $H^1(n, k) \longleftrightarrow H^2(n+1, k+1)$  since  $W_1((n+1), k+1) = H^2(n+1, k+1)$ . (See the first and third columns of Table 1.)
- (ii) If  $j \geq n - k + 1$ , we have  $H^1(n, k) = W_j(n, k) = \bigcup_{r \geq 0} W_j(n, k, r)$ , which implies  $|W_j((n+1), k+1)| = |W_j(n, k)| = S(n, k)$  (see the fourth column of Table 2).
- (iii) The block  $U$  containing  $n+1$  in  $q \in W_j((n+1), k+1)$  encodes the number of  $(j+1)$ -successions in  $p \in H^1(n, k)$  such that each  $x \in U \setminus \{n+1\}$  represents  $y+1$   $(j+1)$ -successions in  $p$  provided the elements  $x-y, x-y+1, \dots, x+1, x+2, \dots, x+j$  lie in one block of  $q, 0 \leq y \leq j$ .

#### 4. Set partitions and integer partitions

In this section we present a succession-based classification of set partitions induced by an integer partition which should be compared with the standard one which employs block sizes (see for example [1, p. 215] and [3, p. 205]).

Two elements  $y_1, y_2 \in [n]$ ,  $y_1 < y_2$ , will be called separated in a partition  $p$  if  $y_1$  and  $y_2$  belong to the same block and  $y_2 - y_1 \geq 2$ , or  $y_1$  and  $y_2$  belong to different blocks of  $p$ . With this convention each partition  $p$  of  $[n]$  possesses a unique succession structure, or equivalently, corresponds to a unique composition  $(b_1, b_2, \dots, b_v)$  of  $n$  such that each part  $b_j$  represents a  $b_j$ -succession, and the greatest member of  $b_j$  and the least member of  $b_{j+1}$  are separated,  $b_j > 0, 1 \leq j \leq v-1$ . For example the succession structure of 145-26-378 is 121112. The succession structure of a partition of  $[n]$  can be expressed in the standard partition form  $(b_1^{r_1}, b_2^{r_2}, \dots, b_x^{r_x})$  such that each  $b_j$  represents a  $b_j$ -succession and appears  $r_j$  times, where  $0 < b_1 < b_2 < \dots < b_x$ . The last expression is then the corresponding generating partition of  $n$ . Conversely, we can start with a partition of  $n$  expressed in the standard form and obtain all partitions of  $[n]$  of which it is the succession structure. For example, the partitions of [8] generated by the partition  $(1^4 2^2)$  of 8 include 145-26-378, 12457-368 and 13-24-56-78.

Let  $\pi = (b_1^{r_1}, b_2^{r_2}, \dots, b_x^{r_x})$  represent a partition of  $n$  into  $v$  parts. Since a  $b_j$ -succession contains  $b_j - 1$  2-successions, the total number of 2-successions in a partition of  $[n]$  generated by  $\pi$  is

$$r_1(b_1 - 1) + \dots + r_x(b_x - 1) = r_1 b_1 + \dots + r_x b_x - (r_1 + \dots + r_x) = n - v.$$

Hence we have proved the following proposition.

**Proposition 1.** Every partition of  $n$  into  $v$  parts corresponds to some partition of  $[n]$  containing exactly  $n - v$  2-successions.

Table 3  
Classification of partitions of [4] by successions

$r$	Partitions of 4	Partitions of [4]	$c_2(4, r)$
0	$1^4$	1-2-3-4, 1-24-3, 14-2-3, 13-2-4, 13-24	5
1	$1^2 2$	1-2-34, 1-23-4, 12-3-4, 14-23, 134-2, 124-3	6
2	$13, 2^2$	1-234, 123-4, 12-34	3
3	4	1234	1
$B(4)$			15

It follows that the classification of the partitions of  $n$  by numbers of parts implies the classification of the partitions of  $[n]$  by numbers of 2-successions, and vice versa. Table 3 illustrates this for  $n = 4$ , where  $c_2(n, r)$  is the number of partitions of  $[n]$  containing  $r$  2-successions. Note that  $c_2(n, r)$  can be computed directly from

$$c_2(n, r) = \sum_k c_2(n, k, r) = \binom{n-1}{r} B(n-r-1).$$

Writing  $c_2(n, r)$  as  $c(n, n-r)B(n-r-1)$ , and recalling that the number of permutations of the sequence of parts of  $\pi$  is  $(r_1 + \dots + r_x)!/(r_1! \dots r_x!)$ , we obtain a refinement of Proposition 1.

**Theorem 6.** Every composition of  $n$  into  $v$  parts corresponds to some partition of  $[n]$  containing exactly  $n-v$  2-successions. A partition  $(b_1^{r_1}, b_2^{r_2}, \dots, b_x^{r_x})$  of  $n$  generates, by successions, exactly

$$\frac{(r_1 + \dots + r_x)! S(r_1 + \dots + r_x - 1, k-1)}{r_1! \dots r_x!}$$

$k$ -partitions of  $[n]$ , and a total of

$$\frac{(r_1 + \dots + r_x)! B(r_1 + \dots + r_x - 1)}{r_1! \dots r_x!} \quad (9)$$

partitions of  $[n]$  (such that each partition of  $[n]$  contains a fixed number of 2-successions).

**Remark.** Theorem 6 can also be derived via a development that employs the class vectors of partitions of  $[n]$  (better known as restricted growth functions on  $[n]$ ) [7]. The class vector of a  $k$ -partition of  $[n]$  is the  $n$ -vector  $(e_1, \dots, e_n)$  in which  $e_i \in \{1, \dots, k\}$  and  $i$  belongs to block  $e_i$  for each  $i$ . In this case the succession structure of a partition  $p$  of  $[n]$  is obtained from its vector by replacing every sequence of  $x$  equal and consecutive components by  $x$ . Thus the vector of 145-26-378 is (1,2,3,1,1,2,3,3) which gives the composition 111212. Clearly Theorem 6 follows naturally. However, the two approaches do not always give the same structure for a partition.

The number  $c_2(n, k, r)$  has a natural dual in the enumerator of  $k$ -partitions of  $[n]$  with a specified number of separations. Denote the set of  $k$ -partitions of  $[n]$  with  $x$  separations by  $S_x(n, k)$ . For instance the following partitions are elements of  $S_5(8, 3)$ : 145-26-378, 1567-24-38. We deduce from Proposition 1 that every  $k$ -partition of  $[n]$  with  $x$  separations contains exactly  $n-x-1$  2-successions. Hence

$$|S_x(n, k)| = \binom{n-1}{x} S(x, k-1).$$



It follows that the summands in the standard identities [5]

$$S(n, k) = \sum_{x \geq 0} \binom{n-1}{x} S(x, k-1), \quad B(n) = \sum_{x \geq 0} \binom{n-1}{x} B(x), \quad (10)$$

correspond to the classification of partitions of  $[n]$  by increasing numbers of separations, or equivalently, by decreasing numbers of 2-successions.

Since the occurrence of  $x$  separations implies that of  $x+1$  separated strings of consecutive integers, we have the following assertion as a bonus.

*The number of  $k$ -partitions of  $[n]$  containing exactly  $r$  separate sequences of consecutive integers is given by*

$$\binom{n-1}{r-1} S(r-1, k-1).$$

## 5. Partitions of general sets

We consider the enumeration of the partitions of an arbitrary subset  $V$  of  $[n]$ , where  $V$  may have a certain number of separations. Our main tool is Proposition 1.

**Theorem 7.** *Let  $V$  be an ordered  $N$ -set of positive integers having  $x$  separations,  $N > 0$  (i.e.  $V$  contains  $x$  pairs  $v_i, v_{i+1}$  such that  $v_{i+1} - v_i \geq 2$ ). The number  $c_0((N, x), k)$  of  $k$ -partitions of  $V$  into subsets of nonconsecutive integers is given by*

$$c_0((N, x), k) = \sum_{j \geq 0} \binom{x}{j} S(N-j-1, k-1). \quad (11)$$

*The number  $c_2((N, x), k, r)$  of  $k$ -partitions of  $V$  containing  $r$  2-successions is given by*

$$c_2((N, x), k, r) = \binom{N-x-1}{r} \sum_{j \geq 0} \binom{x}{j} S(N-r-j-1, k-1). \quad (12)$$

Note that  $c_0((N, 0), k) = c_2(N, k, 0)$  and  $c_2((N, 0), k, r) = c_2(N, k, r)$ , as expected.

**Proof.** First renumber the members of  $V$  with elements of  $[N]$ , in size-preserving order. To prove (11) let  $C_0(V, k)$  denote the set of the required partitions. Identify each separated pair  $v_i, v_{i+1} \in V$  with a succession  $u_i, u_{i+1} \in [N]$ ,  $1 \leq i \leq x$ . Then the latter must appear in some  $p \in C_0([N], k)$ . But a fixed  $p$  can have only  $j$  such successions,  $j = 0, 1, \dots, x$ . By Proposition 1 each choice of the  $j$ -successions returns  $c_2(N-j, k, 0)$  partitions. Thus

$$c_0((N, x), k) = \sum_{j \geq 0} \binom{x}{j} c_2(N-j, k, 0),$$

and (11) follows from the formula  $c_2(n, k, 0) = S(n-1, k-1)$ . To prove (12) we identify  $V$  with a certain composition  $\tau = (b_1, b_2, \dots, b_{x+1})$  which is the succession structure of a partition of  $[N]$ , and select  $r$  of the  $N-x-1$  surviving successions. Each choice of  $r$ -successions then entails a reduction of the parts of  $\tau$  as follows:

$$(b_1, b_2, \dots, b_{x+1}) \xrightarrow{-r} (b_1 - f_1, b_2 - f_2, \dots, b_{x+1} - f_{x+1}),$$

where  $b_i > f_i$  and  $(f_1, f_2, \dots, f_{x+1})$  is a partition of  $r$  into  $x+1$  nonnegative parts. This returns a set with  $N-r$  coded elements, still having  $x$  separations. Thus the result follows by applying (11) to the expression

$$c_2((N, x), k, r) = \binom{N-x-1}{r} c_0((N-r, x), k). \quad \square$$

**Remark.** Assuming the hypothesis of Theorem 7, we are unable to find a formula for the number  $c_t((N, x), k, r)$  of  $k$ -partitions of  $V$  containing  $r$   $t$ -successions when  $t \geq 3$ .

We conclude this section by highlighting a class of refinements of the fundamental Stirling subset-number identity (see the first identity in (10)). Under the hypothesis of Theorem 7, the number of  $k$ -partitions of  $V$  containing a 2-succession can also be obtained by summing (12) over  $r, r \geq 1$ , namely

$$\sum_{r \geq 1} \sum_{j \geq 0} \binom{x}{j} \binom{N-x-1}{r} S(N-r-j-1, k-1).$$

Thus an alternative formula for  $c_0((N, x), k)$  is

$$c_0((N, x), k) = S(N, k) - \sum_{r \geq 1} \sum_{j \geq 0} \binom{x}{j} \binom{N-x-1}{r} S(N-r-j-1, k-1). \quad (13)$$

Equating (11) with (13) gives the following identity.

$$S(n, k) = \sum_{r+j < n} \binom{v}{j} \binom{n-v-1}{r} S(n-r-j-1, k-1), \quad (14)$$

for each nonnegative integer  $v < n$ .

The dual of (14) is given by

$$S(n, k) = \sum_{r+j < n} \binom{v}{j} \binom{n-v-1}{r} S(r+j, k-1).$$

The following extensions of the identities may be proved by mathematical induction.

$$\begin{aligned} S(n, k) &= \sum_{r_1+r_2+\dots+r_m < n} \binom{v_1}{r_1} \binom{v_2}{r_2} \dots \binom{v_{m-1}}{r_{m-1}} \binom{n-v_1-v_2-\dots-v_{m-1}-1}{r_m} \\ &\quad \times S(n-r_1-r_2-\dots-r_m-1, k-1); \\ S(n, k) &= \sum_{r_1+r_2+\dots+r_m < n} \binom{v_1}{r_1} \binom{v_2}{r_2} \dots \binom{v_{m-1}}{r_{m-1}} \binom{n-v_1-v_2-\dots-v_{m-1}-1}{r_m} \\ &\quad \times S(r_1+r_2+\dots+r_m, k-1), \quad r_0 = 0, \end{aligned}$$

for nonnegative integers  $v_1, v_2, \dots, v_{m-1}$ , where  $v_1 + \dots + v_{m-1} < n$ .

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